

10/560221

IAP9 Rec'd PCT/PTO 12 DEC 2005

VIA Express Mail EV786328205US

Docket Number

36856.1399

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Takeshi NAKAO et al.	International Application No.: PCT/JP2004/008116
Serial No.: Unknown	International Filing Date: June 10, 2004
Filed: Concurrently herewith	
For: ONE-PORT SURFACE ACOUSTIC WAVE RESONATOR AND SURFACE ACOUSTIC WAVE FILTER (as amended)	

INFORMATION DISCLOSURE STATEMENT

Mail Stop PCT
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In accordance with 37 CFR §1.56, and in recognition of their duty to disclose to the United States Patent and Trademark Office relevant information known to be material to patentability, Applicants herewith submits the attached Form PTO-1449. Reference Nos. 1, 5, and 6 were cited in the attached International Search Report for PCT Application No. PCT/JP2004/008116.

Reference Nos. 2-4 and 7-11 are additional references that Applicants are submitting herewith.

Applicants do not submit herewith a copy of the U.S. references as this is no longer required.

The relevance of Reference No. 7 is discussed on page 1 of the substitute specification filed concurrently herewith.

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The relevance of Reference No. 8 is discussed on page 2 of the substitute specification filed concurrently herewith.

The relevance of Reference No. 2 is discussed on page 2 of the substitute specification filed concurrently herewith.

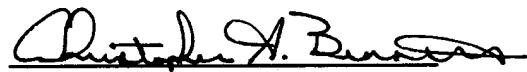
The relevance of Reference No. 11 is discussed on pages 2 and 3 of the substitute specification filed concurrently herewith.

The statement is not a representation that all of the information cited is necessarily effective as prior art against the application.

Applicants respectfully request that the cited references be made of record in the subject application.

Respectfully submitted,

Date: December 12, 2005


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Substitute for form 1449/PTO				<i>Complete if Known</i>	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>				Application Number	
				Filing Date	
				First Named Inventor	Takeshi NAKAO
				Art Unit	
				Examiner Name	
Sheet	1	of	2	Attorney Docket Number	36856.1399

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM -YYYY	Country of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ -Number-Kind Code ⁵ (If known)				
	5	JP 2000-151355 (English Abstract and machine translation)	05-2000	JAPAN		X
	6	JP 10-065481 (English Abstract and machine translation)	03-1998	JAPAN		X
	7	JP 07-283682 (English Abstract and machine translation)	10-1995	JAPAN		X
	8	JP 09-093072 (English Abstract and machine translation)	04-1997	JAPAN		X
	9	WO 99/04489 (English Abstract)	01-1999	WIPO		X
	10	WO 01/37426 (English Abstract)	05-2001	WIPO		X

Examiner Signature		Date Considered	
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*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered, include copy of this form with next communication to applicant.

¹ Applicant unique citation designation number (optional). ²See Kind Codes of USPTO Patent documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the

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		Art Unit	
		Examiner Name	
Sheet	2	of	2
		Attorney Docket Number	36856.1399

NON PATENT LITERATURE DOCUMENTS

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1 Applicant unique citation designation number (optional). ²Applicant is to place a check mark here if English language Translation is attached.

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